PTO/SB/21 (09-04)

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10/727,418

TRANSMITTAL FORM		Filing Date	December 4, 2003		
		First Named Inventor	NANGALIA, Rishi		
		Art Unit	3621		
(to be used for all correspondence after initial filing)		Examiner Name	Cangialosi, Salvatore A.		
Total Number of Pages in This Submission 29		Attorney Docket Number	G08.071		
ENCLOSURES (Check all that apply)					
Fee Transmittal F		Drawing(s)		After Allo	owance communication to (TC)
Fee Attach	Fee Attached		X	Appeal (Communication to Board als and Interferences
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, 2 nd Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Proprie	ary Information
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status I	
Extension of Time Request		Terminal Disclaimer	X	Other E	nclosure(s) (please identify Notice of Appeal Letter knowledgement Postcard
Express Abandonment Request		Request for Refund		and Ao	
Information Disclosure Statement		CD, Number of CD(s)	_		
		Landscape Table on Cl			
Certified Copy of Priority Document(s)		marks			
Reply to Missing Parts/ Incomplete Application		III a No			
Reply to Missing Parts under 37 CFR 1.52 or 1.53					
37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name Buckley, Maschoff & Talwalkar					
Signature liatti-					
Printed name Nathaniel Levin					
Date April 18, 2006 Reg. No.				34,860	
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Appeal Brief – Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature Signature					
Typed or printed name Edith Martin				Date	April 18, 2006

Application Number

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellants: NANGALIA et al.

Application Serial No.: 10/727,418

Filing Date: December 4, 2003

For: METHODS AND APPARATUS FOR

ROUTING SECURITIES ORDERS

Group Art Unit: 3621

Examiner: Salvatore A. Cangialosi

NOTICE OF APPEAL Letter

Attorney Docket No.: G08.071

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8

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Dated: April 18, 2006

Mail Stop Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the decision of the Examiner in the Non-Final Office Action mailed April 11, 2006 (the "2006 Office Action").

Since the fees have already been paid-\$500.00 for the 1st Notice of Appeal (filed December 6, 2005) and another \$500.00 the 1st Appeal Brief (filed January 26, 2006)--Applicants believe that no fee is now due.

Application Serial No.: 10/727,418 Attorney Docket No.: G08.071

However, if any additional fees are due in conjunction with this matter, the Commissioner is hereby authorized to charge them to Deposit Account <u>50-1852</u>.

Respectfully submitted,

April 18, 2006 Date Nathaniel Levin

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